

# Syllabus

Cambridge International A & AS Level Computing

Syllabus code 9691

For examination in June and November 2011



UNIVERSITY *of* CAMBRIDGE  
International Examinations

**Note for Exams Officers:** Before making Final Entries, please check availability of the codes for the components and options in the E3 booklet (titled "Procedures for the Submission of Entries") relevant to the exam session. Please note that component and option codes are subject to change.

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# 1. Introduction

## 1.1 Why choose Cambridge?

University of Cambridge International Examinations (CIE) is the world's largest provider of international qualifications. Around 1.5 million students from 150 countries enter Cambridge examinations every year. What makes educators around the world choose Cambridge?

### Recognition

A Cambridge International A or AS Level is recognised around the world by schools, universities and employers. The qualifications are accepted as proof of academic ability for entry to universities worldwide. Cambridge International A Levels typically take two years to complete and offer a flexible course of study that gives students the freedom to select subjects that are right for them. Cambridge International AS Levels often represent the first half of an A Level course but may also be taken as a freestanding qualification. They are accepted in all UK universities and carry half the weighting of an A Level. University course credit and advanced standing is often available for Cambridge International A/AS Levels in countries such as the USA and Canada. Learn more at [www.cie.org.uk/recognition](http://www.cie.org.uk/recognition).

### Support

CIE provides a world-class support service for teachers and exams officers. We offer a wide range of teacher materials to Centres, plus teacher training (online and face-to-face) and student support materials. Exams officers can trust in reliable, efficient administration of exams entry and excellent, personal support from CIE Customer Services. Learn more at [www.cie.org.uk/teachers](http://www.cie.org.uk/teachers).

### Excellence in education

Cambridge qualifications develop successful students. They not only build understanding and knowledge required for progression, but also learning and thinking skills that help students become independent learners and equip them for life.

### Not-for-profit, part of the University of Cambridge

CIE is part of Cambridge Assessment, a not-for-profit organisation and part of the University of Cambridge. The needs of teachers and learners are at the core of what we do. CIE invests constantly in improving its qualifications and services. We draw upon education research in developing our qualifications.

# 1. Introduction

## 1.2 Why choose Cambridge International A & AS Level Computing?

Cambridge International A Level & AS Level Computing are accepted by universities and employers as proof of essential knowledge and ability.

This syllabus is designed to give greater flexibility both to teachers and to candidates. It is envisaged that candidates will use the skills and knowledge of computing acquired through this course in one of three ways:

- to provide a general understanding and perspective of the use of computer technology and systems, which will inform their decisions and support their participation in an increasingly technologically dependent society
- to provide the necessary skills and knowledge to seek employment in areas that utilise computing
- to develop their knowledge and understanding of computing through entry to higher education, where this qualification will provide a useful foundation for further study of computing or more specialist aspects of computing.

## 1.3 How can I find out more?

### If you are already a Cambridge Centre

You can make entries for this qualification through your usual channels, e.g. CIE Direct. If you have any queries, please contact us at **[international@cie.org.uk](mailto:international@cie.org.uk)**.

### If you are not a Cambridge Centre

You can find out how your organisation can become a Cambridge Centre. Email us at **[international@cie.org.uk](mailto:international@cie.org.uk)**. Learn more about the benefits of becoming a Cambridge Centre at **[www.cie.org.uk](http://www.cie.org.uk)**.

## 2. Assessment at a glance

### Cambridge International A & AS Level Computing Syllabus code 9691

Centres and candidates may choose:

- to take components 1, 2, 3 and 4 in the same examination session, leading to the full A Level
- to follow a **staged** assessment route by taking papers 1 and 2 (for the AS qualification) in one session, then papers 3 and 4 (for the full A Level) at a later session
- to take papers 1 and 2 only (for the AS qualification).

| Paper  | Marks | Weighting (%) |    |    |
|--|-------|---------------|----|----|
|  |       | AS            | A2 | A  |
| <b>Paper 1</b><br>Written paper on Section 1 of syllabus<br>1½ hours   | 75    | 50            | -  | 25 |
| <b>Paper 2</b><br>Practical programming techniques<br>2 hours  | 75    | 50            | -  | 25 |
| <b>Paper 3</b><br>Written paper on Section 3 of syllabus,<br>also assuming knowledge from Section 1<br>2 hours | 90    | -             | 60 | 30 |
| <b>Paper 4</b><br>Computing project  | 60    | -             | 40 | 20 |

Advanced Subsidiary (AS) forms 50% of the assessment weighting of the full Advanced Level.

# 3. Syllabus aims and assessment

## 3.1 Aims

The aims of a course based on this syllabus, whether leading to an AS or A Level qualification are:

- to develop an understanding of the main principles of solving problems using computers
- to develop an understanding of the range of applications of computers and the effects of their use
- to develop an understanding of the organisation of computer systems including software, data, hardware, communications and people
- to acquire the skills necessary to apply this understanding to developing computer-based solutions to problems

An additional aim for a course leading to the full A Level qualification is:

- to develop an understanding of the main principles of systems analysis and design, methods of problem formulation and planning of solutions using computers, and systematic methods of implementation, testing and documentation.

## 3.2 Assessment objectives

The assessment objectives below are for both the AS and A2 qualifications.

### A. Knowledge with understanding

Candidates should be able to:

- describe and explain the impact of computing in a range of applications, and show an understanding of the characteristics of computer systems (hardware, software and communication) which allow effective solutions to be achieved
- describe and explain the need for and the use of various forms of data organisation and processing to support the information requirements of a particular application
- describe and explain the systematic development of high quality solutions to problems and the techniques appropriate for implementing such solutions
- comment critically on the social, legal, ethical and other consequences of the use of computers.

### B. Skills

Candidates should be able to:

- analyse a problem and identify the parts which are appropriate for a computer-based solution
- select, justify and apply appropriate techniques and principles to develop data structures and algorithms for the solution of problems
- design, implement and document an effective solution using appropriate hardware, software and programming languages.

# 3. Syllabus aims and assessment

## 3.3 Weighting of assessment objectives

| Paper    | Percentage of Advanced Level |        |               |
|----------|------------------------------|--------|---------------|
|          | Knowledge with understanding | Skills | Weighting (%) |
| <b>1</b> | 20 ± 2                       | 5 ± 2  | 25            |
| <b>2</b> | 8 ± 2                        | 17 ± 2 | 25            |
| <b>3</b> | 15 ± 2                       | 15 ± 2 | 30            |
| <b>4</b> | 5 ± 2                        | 15 ± 2 | 20            |

## 3.4 Exam combinations

Candidates may combine this syllabus in an exam session with any other CIE syllabus except:

- 9754 Computing (Singapore)



# 4. Description of components

## 4.1 Paper 1

This paper will consist of a variable number of compulsory questions of variable mark value. Candidates will answer on lined paper.

This paper will be set according to the content of Section 1 of the syllabus.

## 4.2 Paper 2

This is an externally examined component.

The paper will consist of a number (between 3 and 5) of compulsory questions, each of which will be based around a scenario and which will develop a theme through a number of graded parts to the question. The emphasis will be based around the need to demonstrate skills in using techniques that have been learned through study of the syllabus. There will be some examining of knowledge and understanding but the bulk of the credit will be for using those techniques and that knowledge to solve problems.

Candidates will be expected to be able to program in a language to be chosen by the Centre but the advice is that the language chosen should be procedural. In all cases the logic will be of more importance than the syntax.

The question content will be based on Section 2 of the syllabus. This will mean that a certain understanding of the content of Section 1 will be expected, but there will be no questions which are aimed specifically at testing Section 1.

Paper 2 replaces the teacher assessed work with an examination which will be externally marked. This in no way implies that the assessment is no longer based on the practical skills of the candidate. Indeed, Centres should be aware that as the assessment will now be unseen a greater range of ability may be expected from the candidates. It is also necessary that candidates are taught the content of this module in a largely practical way in order to prepare them for the requirements of the project in module 4. Centres are reminded that the content of the examination will involve the assessment of the skills and techniques rather than any major problem solution.

# 4. Description of components

## 4.3 Paper 3

This paper will consist of a variable number of compulsory questions of variable mark value. Candidates will answer on lined paper.

This paper will be set according to the content of Section 3 of the syllabus, but will also assume knowledge learned in Section 1.

## 4.4 Paper 4

Further details of the Computing Project can be found in Section 6: Coursework.

# 5. Syllabus content

This syllabus is set out in the form of teaching sections. Each teaching section is assessed by its associated paper. The Advanced Subsidiary syllabus consists of teaching Sections 1 and 2 only, and the Advanced Level syllabus consists of all four teaching sections.

The subject content for each section is shown below.

| Syllabus section | Paper | Section title  |
|------------------|-------|--|
| 1                | 1     | Computer systems, communications and software  |
| 2                | 2     | Practical programming techniques   |
| 3                | 3     | Systems software mechanisms, machine architecture, database theory, programming paradigms and integrated information systems |
| 4                | 4     | Computing Project  |

Each section is presented as a set of sub-sections, each with details of content and associated learning outcomes. An indication of recommended prior knowledge is given for each section, together with details of any links to other sections.

## Section 1: Computer systems, communications and software

Section 1 is the foundation for all subsequent sections. It provides candidates with an understanding of the core aspects of computer systems, which is developed and enhanced in subsequent sections.

## Section 2: Practical programming techniques

Section 2 requires candidates to familiarise themselves with the techniques necessary to solve problems using a computer and specifically using programming to implement algorithmic solutions. To that end the topics covered will be:

- the need to design a solution before attempting to implement it
- procedural programming techniques which include the basic constructs of repetition, selection and iteration; the importance and use of data types and data structures
- common syntax and key instructions of procedural languages
- the application of these techniques in program writing and the testing and running of these solutions.

# 5. Syllabus content

## Section 3: Systems software mechanisms, machine architecture, database theory, programming paradigms and integrated information systems

Section 3 provides candidates with further opportunity to extend the skills, knowledge and understanding of computing concepts gained in Section 1, to a range of applications in which computer systems are used.

## Section 4: Computing Project

Section 4 requires candidates to identify a well-defined user-driven problem, involving a third-party user, and to generate a solution.

As for Section 2, this is done using software tools chosen by the candidate and may include a programming language, an appropriate applications package or other software. Work on the project should begin in parallel with work on Section 3.

# 5. Syllabus content

## Section 1: Computer systems, communications and software

This section provides candidates with an understanding of the following core aspects of computer systems:

- components of a computer system and modes of use
- system software
- data: their representation, structure and management
- hardware
- data transmission and networking.

The systems development life cycle is studied with reference to particular applications, so candidates are expected to look at a range of different types of application areas. Although candidates are not expected to have specific knowledge of every one of these, they should be able to make use of relevant examples for the purpose of illustration.

This section also provides candidates with understanding of the following aspects of computer systems:

- systems development life cycle
- choosing applications software for application areas
- handling of data in information systems
- implications of computer use.

### 1.1 Components of a computer system and modes of use

#### Content

1.1.1 Types of hardware

1.1.2 Types of software

#### Learning outcomes

*Candidates should be able to:*

- (a) define the terms hardware, software, input device, storage device and output device
- (b) describe the purpose of input devices, storage devices and output devices
- (c) define the different types of software: operating system and generic/common applications software

# 5. Syllabus content

## 1.2 System software

### Content

- 1.2.1 Operating systems
- 1.2.2 User interfaces
- 1.2.3 Utility software

### Learning outcomes

*Candidates should be able to:*

- (a) describe the purpose of operating systems
- (b) describe the characteristics of different types of operating systems and their uses: batch, real-time, single-user, multi-user, multi-tasking and network systems
- (c) identify a range of applications requiring batch processing and a range of applications in which a real time response is required
- (d) describe different types of user interface: forms, menus, GUI, natural language and command line, suggesting the characteristics of user interfaces which make them appropriate for use by different types of user
- (e) describe the purpose of a range of utility software e.g. disk formatting, file handling, hardware drivers, file compression and virus checkers

## 1.3 Data: its representation, structure and management

### Content

- 1.3.1 Data types
- 1.3.2 Data structures
- 1.3.3 Data management

### Learning outcomes

*Candidates should be able to:*

- (a) explain the use of codes to represent a character set (e.g. ASCII and Unicode)
- (b) explain the representation of different data types: integer, Boolean, date/time, currency and character
- (c) express positive integers in binary form
- (d) understand the structure of arrays (one and two dimensional), including initialising arrays, reading data into arrays and performing a simple serial search on an array
- (e) describe the LIFO and FIFO features of stacks and queues
- (f) explain how data is stored in files in the form of fixed length records comprising items in fields

# 5. Syllabus content

- (g) define and explain the difference between serial, sequential, indexed sequential and random access to data, using examples and stating their comparative advantages and disadvantages
- (h) describe how serial, sequential and random organisation of files may be implemented using indexes and hashing as appropriate
- (i) select appropriate data types/data structures for a given problem and explain the advantages and disadvantages of alternative choices
- (j) explain the procedures involved in backing up data and archiving, including the difference between data that is backed up and data that is archived

## 1.4 Hardware

### Content

- 1.4.1 Processor components
- 1.4.2 Primary and secondary storage
- 1.4.3 Peripheral devices

### Learning outcomes

*Candidates should be able to:*

- (a) describe the function and purpose of the control unit, memory unit and arithmetic logic unit (ALU) as individual parts of a processor
- (b) explain the difference between types of primary memory and their uses (RAM, ROM)
- (c) describe the basic features, advantages, disadvantages and use of secondary storage media e.g. magnetic, optical and solid state
- (d) describe use of buffers and interrupts in the transfer of data between peripheral devices and primary memory
- (e) describe a range of common peripheral devices in terms of their features, advantages, disadvantages and uses
- (f) relate the choice of peripheral device to a given application, justifying the choices made
- (g) understand the potential problem of speed mismatch between peripheral and processor

# 5. Syllabus content

## 1.5 Data transmission and networking

### Content

- 1.5.1 Data transmission
- 1.5.2 Circuit switching and packet switching
- 1.5.3 Protocols
- 1.5.4 Networking

### Learning outcomes

*Candidates should be able to:*

- (a) describe the characteristics of a local area network (LAN) and a wide area network (WAN)
- (b) show an understanding of the hardware and software needed for a local area network (LAN) and for accessing a wide area network (WAN)
- (c) describe the different types of data transmission: serial and parallel; and simplex, full duplex, half duplex and duplex modes
- (d) explain the relationship between bit rates and the time sensitivity of the data
- (e) recognise that errors can occur in data transmission; explain the use of parity checks, echoing and check sums in detecting and correcting these errors, and the use of parity blocks to aid self checking
- (f) explain the difference between packet switching and circuit switching
- (g) define the term protocol
- (h) describe the need for communication between devices, and between computers, and explain the need for protocols to establish communication links (candidates will **not** be expected to have detailed knowledge of specific protocols)
- (i) explain the need for both physical and logical protocols and the need for layering in an interface (detail regarding layers is **not** required)



# 5. Syllabus content

## 1.6 Systems development life cycle

### Content

- 1.6.1 Identification of problem
- 1.6.2 Feasibility study
- 1.6.3 Information collection
- 1.6.4 Analysis of a problem, based upon information collected, including producing a requirements specification
- 1.6.5 Design of system to fit requirements
- 1.6.6 Development and testing of system
- 1.6.7 Installation of system
- 1.6.8 Maintenance of system
- 1.6.9 Obsolescence

### Learning outcomes

*Candidates should, with reference to particular applications, be able to:*

- (a) explain the importance of defining a problem accurately
- (b) describe the function and purpose of a feasibility study
- (c) explain the importance of determining the information requirements of a system and describe different methods of fact finding, highlighting the advantages and disadvantages of each method
- (d) describe what is involved when analysing the requirements of a system, explaining the nature of the requirements specification and its content, identifying inefficiencies/problems, user requirements and hardware and software requirements
- (e) design the data structures, inputs, outputs and processing using diagrammatic representations where appropriate (including the use of DFDs and system flowcharts)
- (f) explain the importance of evaluating the system against initial specifications
- (g) explain the content and importance of documentation in the system life cycle, including the requirements specification, design specification, program specification and documentation
- (h) explain the importance of testing and installation planning, including the method of installation
- (i) explain the reasons for maintaining the system

# 5. Syllabus content

## 1.7 Choosing appropriate applications software

### Content

- 1.7.1 Custom written software versus off-the-shelf software packages
- 1.7.2 Applications software

### Learning outcomes

*Candidates should, within context, be able to:*

- (a) distinguish between custom-written software and off-the-shelf software packages, and discuss the advantages and disadvantages of each in given situations
- (b) identify the features of common applications found in business, commercial and industrial applications, e.g. stock control, payroll, process control, point of sale systems
- (c) identify suitable common generic applications software for particular application areas, e.g. word processing, spreadsheets, desktop publishers (DTP), presentation software, drawing packages, and justify the choices
- (d) identify application areas for which generic applications software is not appropriate
- (e) describe the purpose and impact of different types of generic applications software, e.g. word processing, spreadsheets, desktop publishers (DTP), presentation software, drawing packages

## 1.8 Handling of data in information systems

### Content

- 1.8.1 Data capture, preparation and data input
- 1.8.2 Validation and verification of data
- 1.8.3 Outputs from a system
- 1.8.4 Knowledge based systems

### Learning outcomes

*Candidates should, within a context, be able to:*

- (a) describe manual and automatic methods of capturing and inputting data into a system, including form design, keyboard entry, barcodes, OMR, magnetic stripe cards, OCR, sensors and data logging, touch screens, chip and pin
- (b) describe image capture by use of a scanner, video capture card and digital camera/camcorder
- (c) explain the techniques of validation and verification, and describe validation tests which can be carried out on data
- (d) describe possible output formats such as graphs, reports, interactive presentations, sound, video, images and animations stating the advantages and disadvantages of each format

# 5. Syllabus content

- (e) discuss the need for a variety of output formats according to the target audience
- (f) describe knowledge based (expert) systems
- (g) explain the use of knowledge based (expert) systems as a diagnostic tool

## 1.9 Designing the user interface

### Content

#### 1.9.1 Interface design

### Learning outcomes

*Candidates should be able to:*

- (a) discuss the importance of good interface design
- (b) discuss human computer interaction (HCI) design issues such as the use of colour, layout, and content
- (c) identify the required characteristics of a user interface with respect to information, type of user, physical location and current technology

## 1.10 Logic gates

### Content

#### 1.10.1 Uses of logic gates to translate Boolean concepts into physical uses

### Learning outcomes

*Candidates should, within a context, be able to:*

- (a) understand the effects of logic gates AND, OR, NOT on binary signals in a processor
- (b) calculate the outcome from a set of logic gates given the input
- (c) understand how logic gates can be used within the processor as a form of refreshable memory and as an accumulator

# 5. Syllabus content

## Section 2: Practical programming techniques

This section provides candidates with an understanding of the techniques required for programming through a study of the following topics:

- designing solutions to problems
- the structure of procedural programs
- data types and data structures
- common facilities of procedural programs
- writing maintainable programs
- testing and running a solution.

### 2.1 Designing solutions to problems

#### Content

2.1.1 Design of the input, output and interface

2.1.2 Use of structure diagrams to describe the modular nature of a solution

2.1.3 Use of program flowcharts and pseudocode to describe the steps of an algorithm

#### Learning outcomes

*Candidates should be able to:*

- (a) discuss the importance of good interface design
- (b) design and document data capture forms, screen layouts, report layouts or other forms of input and output (e.g. sound) for a given problem
- (c) explain the advantages of designing a solution to a problem by splitting it up into smaller problems (top-down/modular design)
- (d) produce and describe top-down/modular designs using appropriate techniques, including structure diagrams, showing stepwise refinement
- (e) produce algorithms to solve problems using both a program flowchart and using pseudocode
- (f) understand algorithms presented in the form of program flowcharts and pseudocode

# 5. Syllabus content

## 2.2 The structure of procedural programs

### Content

- 2.2.1 Basic programming constructs/control structures
- 2.2.2 Use of subprograms/subroutines, including procedures and functions
- 2.2.3 Recursion

### Learning outcomes

*Candidates should be able to:*

- (a) define and correctly use the following terms as they apply to procedural programming: statement, subroutine, procedure, function, parameter, loop
- (b) identify the three basic programming constructs used to control the flow of execution: sequence, selection and iteration
- (c) understand and use selection in pseudocode and a procedural programming language, including the use of IF statements and CASE/SELECT statements
- (d) understand and use iteration in pseudocode and a procedural programming language, including the use of count-controlled loops (FOR-NEXT loops) and condition-controlled loops (WHILE-ENDWHILE and REPEAT\_UNTIL loops)
- (e) understand and use nested selection and nested iteration statements
- (f) understand, create and use subroutines (procedures and functions), including the passing of parameters and the appropriate use of the return value of functions
- (g) use subroutines to modularise the solution to a problem
- (h) identify and use recursion to solve problems; show an understanding of the structure of a recursive subroutine, including the necessity of a stopping condition
- (i) trace the execution of a recursive subroutine
- (j) discuss the relative merits of iterative and recursive solutions to the same problem

# 5. Syllabus content

## 2.3 Data types and data structures

### Content

2.3.1 Data types: integer, real, Boolean, character, string

2.3.2 Data structures: arrays (one and two dimensional), records

2.3.3 Storing, retrieving and searching for data in files

### Learning outcomes

*Candidates should, when writing a program in a procedural language, be able to:*

- (a) define and use different data types e.g. integer, real, Boolean, character and string
- (b) define and use arrays (one and two dimensional) for solving simple problems (this should include initialising arrays, reading data into arrays and performing a simple serial search on a one dimensional array)
- (c) design and implement a record format
- (d) estimate the size of a file from its structure and the number of records
- (e) store, retrieve and search for data in files
- (f) use the facilities of a procedural language to perform file operations (opening, reading, writing, updating, inserting, appending and closing) on sequential files

# 5. Syllabus content

## 2.4 Common facilities of procedural languages

### Content

- 2.4.1 Assignment statements
- 2.4.2 Arithmetic, relational and Boolean operations
- 2.4.3 String manipulation
- 2.4.4 Input and output facilities

### Learning outcomes

*Using an appropriate procedural programming language, candidates should be able to:*

- (a) understand and use assignment statements
- (b) understand arithmetic operators including operators for integer division (+, −, \*, /, MOD and DIV) and use these to construct expressions
- (c) understand a range of relational operators, e.g. =, <, <=, >, >= and <> and use these to construct expressions
- (d) understand the Boolean operators AND, OR, and NOT and use these to construct expressions
- (e) understand the effects of the precedence of standard operators and the use of parentheses to alter the order of evaluation
- (f) evaluate expressions containing arithmetic, relational and Boolean operators and parentheses
- (g) understand and use a range of operators and built-in functions for string manipulation, including location (LOCATE), extraction (LEFT, MID, RIGHT), comparison, concatenation, determining the length of a string (LENGTH) and converting between characters and their ASCII code (ASCII and CHAR)
- (h) understand that relational operations on alphanumeric strings depend on binary codes of the characters
- (i) input and validate data
- (j) output data onto screen/file/printer, formatting the data for output as necessary

# 5. Syllabus content

## 2.5 Writing maintainable programs

### Content

2.5.1 Declaring and using variables and constants

2.5.2 Self-documented code, including identifiers, annotation and formatting

### Learning outcomes

*Using an appropriate procedural programming language, candidates should be able to:*

- (a) define, understand and use the following terms correctly as they apply to programming: variable, constant, identifier, reserved word/keyword
- (b) declare variables and constants, understanding the effect of scope and issues concerning the choice of identifier (including the need to avoid reserved words/keywords)
- (c) select and use meaningful identifier names
- (d) initialise variables appropriately, before using them
- (e) annotate the code with comments so that the logic of the solution can be followed
- (f) use indentation and formatting to show clearly the control structures within the code

## 2.6 Testing and running a solution

### Content

2.6.1 Types of programming errors

2.6.2 Testing strategies and test data

2.6.3 Debugging

2.6.4 Installation and execution

### Learning outcomes

*When developing software to solve a problem, candidates should be able to:*

- (a) describe types of errors in programs (syntax, logic and run-time errors) and understand how and when these may be detected
- (b) describe testing strategies including white box testing, black box testing, alpha testing, beta testing and acceptance testing
- (c) select suitable test data for a given problem, including normal, borderline and invalid data
- (d) perform a dry run on a given algorithm, using a trace table
- (e) describe the use of a range of debugging tools and facilities available in procedural programming languages including translator diagnostics, break points, stepping, and variable check/watch



# 5. Syllabus content

## Section 3: Systems software mechanisms, machine architecture, database theory, programming paradigms and integrated information systems

The content includes:

- the functions of operating systems
- the functions and purposes of translators
- computer architectures and the fetch-execute cycle
- data representation, data structures and data manipulation
- programming paradigms
- databases
- simulation and real-time processing
- common network environments, connectivity and security issues

### Recommended prior knowledge

Candidates should have studied Section 1.

#### 3.1 The functions of operating systems

##### Content

- 3.1.1 Features of operating systems
- 3.1.2 Scheduling
- 3.1.3 Interrupt handling
- 3.1.4 Job queues and priorities
- 3.1.5 Memory management
- 3.1.6 Spooling
- 3.1.7 Modern personal computer operating systems

##### Learning outcomes

*Candidates should be able to:*

- (a) describe the main features of operating systems, including memory management and scheduling algorithms
- (b) explain how interrupts are used to obtain processor time and how processing of interrupted jobs may later be resumed (typical sources of interrupts should be identified and any algorithms and data structures should be described)
- (c) define and explain the purpose of scheduling, job queues, priorities and how they are used to manage job throughput

# 5. Syllabus content

- (d) explain how memory is managed in a typical modern computer system (virtual memory, paging and segmentation should be described)
- (e) describe spooling, explaining why it is used
- (f) describe the main components of a typical PC operating system, including the FAT and boot file

## 3.2 The functions and purposes of translators

### Content

- 3.2.1 Types of translators
- 3.2.2 Lexical analysis
- 3.2.3 Syntax analysis
- 3.2.4 Code generation
- 3.2.5 Linkers and loaders

### Learning outcomes

*Candidates should be able to:*

- (a) understand the relationship between assembly language and machine code
- (b) describe how an assembler produces machine code from assembly language
- (c) describe the difference between interpretation and compilation
- (d) describe what happens during lexical analysis
- (e) describe what happens during syntax analysis
- (f) explain the code generation phase, and understand the need for optimisation
- (g) explain the purpose of linkers and loaders, and describe the use of library routines
- (h) explain how errors are recognised and handled during compilation

## 3.3 Computer architectures and the fetch-execute cycle

### Content

- 3.3.1 Von Neumann architecture
- 3.3.2 Registers: purpose and use
- 3.3.3 Fetch-execute cycle
- 3.3.4 Parallel processors

### Learning outcomes

*Candidates should be able to:*

- (a) describe basic Von Neumann architecture, identifying the need for and the uses of special registers in the functioning of a processor

# 5. Syllabus content

- (b) describe in simple terms the fetch/decode/execute cycle and the effects of the stages of the cycle on specific registers (Program Counter, Memory Address Register, Memory Data Register, Current Instruction Register, Index Register and Accumulator)
- (c) explain the need for and the use of buses to convey data (Data, Address and Control Buses)
- (d) discuss parallel processing systems (co-processor, parallel processor and array processor), their uses, their advantages and their disadvantages

## 3.4 Data representation, data structures and data manipulation

### Content

- 3.4.1 Number systems
- 3.4.2 Floating point binary
- 3.4.3 Normalisation of floating point binary numbers
- 3.4.4 Implementation of data structures, including linked lists, stacks, queues and trees
- 3.4.5 Searching and sorting

### Learning outcomes

*Candidates should be able to:*

- (a) express numbers in binary coded decimal (BCD) and hexadecimal
- (b) describe and use two's complement and sign and magnitude to represent positive and negative integers
- (c) perform integer binary addition
- (d) demonstrate an understanding of binary floating point representation of a real number
- (e) normalise the floating point representation of a number
- (f) discuss the trade-off between accuracy and range when representing numbers in floating point form
- (g) describe algorithms for the insertion, retrieval and deletion of data items stored in linked-list, binary tree, stack and queue structures
- (h) explain the difference between static and dynamic implementation of data structures, highlighting the advantages and disadvantages of each
- (i) explain the difference between binary searching and serial searching, highlighting the advantages and disadvantages of each
- (j) describe algorithms for implementing insertion sort and quick sort methods, and be able to explain the difference between them (detailed algorithmic solutions will **not** be expected, only descriptions of how a solution to a sort problem would be carried out)
- (k) describe the use of a binary tree to sort data
- (l) describe how data files are merged

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## 3.5 Programming paradigms

### Content

- 3.5.1 Types of languages and typical applications
- 3.5.2 Features of different types of language
- 3.5.3 Methods for defining syntax

### Learning outcomes

*Candidates should be able to:*

- (a) describe the characteristics of a variety of programming paradigms (low level, object-orientated, declarative and procedural)
- (b) explain, with examples, the terms object-oriented, declarative and procedural as applied to high-level languages
- (c) explain how functions, procedures and their related variables may be used to develop a program in a structured way, using stepwise refinement
- (d) describe the use of parameters, local and global variables as standard programming techniques
- (e) explain how a stack is used to handle procedure calling and parameter passing
- (f) discuss the concepts and, using examples, show an understanding of data encapsulation, classes and inheritance when referring to object-oriented languages
- (g) interpret and create class and object diagrams
- (h) discuss the concepts and interpret examples, showing an understanding of backtracking, instantiation and satisfying goals when referring to declarative languages
- (i) explain the concepts of direct, indirect, indexed and relative addressing of memory when referring to low level languages
- (j) explain the need for, and be able to apply, BNF (Backus-Naur form) and syntax diagrams
- (k) explain the need for reverse Polish notation
- (l) convert between reverse Polish notation and the infix form of algebraic expressions using trees and stacks

**Note:** Candidates will **not** be expected to use any particular form to present algorithms, but should be able to write procedural algorithms in some form.

Candidates will **not** be expected to write code in the examination.

A detailed knowledge of the syntax of programming languages is **not** required.

# 5. Syllabus content

## 3.6 Databases

### Content

- 3.6.1 Database design
- 3.6.2 Normalisation and data modelling
- 3.6.3 Methods and tools for analysing and implementing database design
- 3.6.4 Control of access to relational database elements

### Learning outcomes

*Candidates should be able to:*

- (a) describe flat files and relational databases
- (b) design a simple relational database to the third normal form (3NF)
- (c) draw and interpret entity-relationship (E-R) diagrams
- (d) explain the advantages that using a relational database gives over flat files
- (e) define and explain the purpose of primary, secondary and foreign keys
- (f) explain the importance of varying the access allowed to database elements at different times and for different categories of user
- (g) describe the structure of a database management system (DBMS), including the function and purpose of the data dictionary, data description language (DDL) and data manipulation language (DML)

## 3.7 Simulation and real-time processing

### Content

- 3.7.1 Applications of real-time computing
- 3.7.2 The feedback loop; input and output; sensors and actuators
- 3.7.3 The use of robots
- 3.7.4 Uses of simulation
- 3.7.5 Variation of parameters and conditions; time steps

### Learning outcomes

*Candidates should be able to:*

- (a) describe real-time applications
- (b) explain the use of sensors and actuators for visible, tactile, audible and other physical signals
- (c) demonstrate an understanding of the use of robots in a variety of situations such as the manufacturing process or hazardous environments
- (d) explain the reasons for simulation, such as to change time-scales and/or save costs and/or avoid danger
- (e) discuss the advantages of simulation in testing the feasibility of a design

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## 3.8 Networking

### Content

- 3.8.1 Data transmission
- 3.8.2 Network components
- 3.8.3 Common network environments
- 3.8.4 Issues of confidentiality
- 3.8.5 Encryption and authentication techniques

### Learning outcomes

Candidates should be able to:

- (a) demonstrate awareness of different media for transmitting data and their carrying capabilities
- (b) explain the different purposes of network components, including switches, routers, bridges and modems
- (c) discuss common network environments, such as intranets, the Internet and other open networks, their facilities, structure and ability to exchange information using appropriate software and techniques
- (d) discuss the problem of maintaining confidentiality of data on an open network and how to address this problem
- (e) explain the need for encryption, authorisation and authentication techniques (candidates will not be expected to know any specific method in detail)

# 5. Syllabus content

## Section 4: Computing project

The project is a substantial piece of work requiring analysis and design over an extended period of time, which is organised, evaluated and presented in a report.

Candidates choose, in conjunction with their teacher, a well-defined user-driven problem which enables them to demonstrate their skills in analysis, design and software development, including programming, testing, installation, documentation and evaluation. Problems should be selected that allow candidates to demonstrate their programming skills.

Projects should be chosen to demonstrate the integrative aspects of the work and should avoid needless repetition of the demonstration of a given skill. Each candidate must submit a report on their piece of work, supported by evidence of software development including programming and testing.

The teacher marks the projects using the marking criteria in the *Guidance on Marking Projects* section of this syllabus, then moderation takes place following CIE procedures.

| <b>4.1 Report (3 marks)</b>   |
|---|
| A report presenting the Coursework as specified in 4.2 to 4.6.  |
| <b>Content</b><br>4.1.1 Organise the report into sections as given in the syllabus<br>4.1.2 Word process the report<br>4.1.3 Documentation of each stage of the development   |
| <b>Learning outcomes</b><br><i>Candidates should be able to:</i><br>(a) organise the report<br>(b) use word-processing features where appropriate including the use of a spellchecker<br>(c) include the evidence specified in 4.2 to 4.6 |

# 5. Syllabus content

## 4.2 Definition, investigation and analysis (11 marks)

Explanation of the problem to be solved, the user's requirements and how they were obtained. There should be a clear statement of requirements, agreed with the prospective client.

### Content

- 4.2.1 Define a problem
- 4.2.2 Investigate the current system
- 4.2.3 Record findings
- 4.2.4 Analyse findings
- 4.2.5 Identify problems/inefficiencies with current system
- 4.2.6 Specify requirements: user, hardware, software

### Learning outcomes

*Candidates should be able to:*

- (a) define the nature of the problem to be solved
- (b) use appropriate methods to investigate the problem and to gather information; these may include questionnaires, observation, meetings and document collection, but must include an interview with the client
- (c) record information/data and gather sample documents currently used
- (d) identify the current processes and current data structures
- (e) analyse the data and processes: candidates will be expected to use appropriate techniques such as structure diagrams/dataflow diagrams/system flowcharts to illustrate their analysis
- (f) specify inefficiencies and problems apparent from the information collection
- (g) derive the client's and information requirements of the system
- (h) specify the required hardware and give reasons for their choice
- (i) specify the required software and give reasons for their choice
- (j) develop and document a clear requirement specification

## 4.3 Design (12 marks)

Detailed system design including data structures, input-output format and processes involved, and testing required. There should be a clear design specification.

### Content

- 4.3.1 Overview including an agreed set of objectives
- 4.3.2 Output design
- 4.3.3 Input design
- 4.3.4 Data structures/model
- 4.3.5 Process model
- 4.3.6 Test plan



# 5. Syllabus content

## **Learning outcomes**

*Candidates should be able to:*

- (a) agree a set of objectives with the client
- (b) design and document report layouts, screen displays and/or other forms of output, drawing up detailed models of the proposed interface
- (c) design and document data capture forms and/or screen layouts
- (d) design and document using appropriate techniques (for example, normalisation/E-R models) the data structures necessary to solve the inefficiencies/problems indicated in the requirements specification
- (e) design and document an algorithm/pseudocode/top-down diagram or other form of process model which is/are necessary for the solution of the problem
- (f) design and document a test plan that includes test data and expected outcomes

## **4.4 Software development, programming, testing and implementation (18 marks)**

A software solution that includes some programming code using a stand alone programming language or programme embedded within application software (e.g. VBA used as the front end of a database solution written by the candidate). A comprehensive test plan is developed from the design, which should show that the system works to the satisfaction of the client by providing comprehensive functional testing, both alpha and beta, of the solution. The test plan should be clearly cross-referenced to the agreed set of objectives to provide evidence that the system has been tested during development and by the client.

## **Content**

- 4.4.1 Software development
- 4.4.2 Programming
- 4.4.3 Testing a software solution
- 4.4.4 Planning for installation and use
- 4.4.5 Client and user testing

## **Learning outcomes**

*Candidates should be able to:*

- (a) implement the proposed process model using a programming language and possibly the facilities of a software package
- (b) develop the data structures of the design using the appropriate features of a software package and programming language
- (c) develop inputs/outputs appropriate to the design of the solution
- (d) illustrate how the software solution evolves
- (e) test the software solution
- (f) produce detailed output from the testing, cross referencing to the test plan
- (g) test the software solution with the client and user, providing documented evidence that the solution works, and devise a strategy for its installation

# 5. Syllabus content

## 4.5 Documentation (10 marks)

The **Systems Maintenance Manual** should include an explanation of the structure of the solution. All the necessary information about the system that would allow someone else to maintain and develop it should be included, for example, back up procedures/cycles, annotated code/modules, data structures used, and must include an element of adaptive maintenance in order to provide some future proofing of the solution.

The **User Manual** should include step by step instructions for operating all aspects of the system, including a means of dealing with any errors that may occur. As well as a guide, User Documentation should include appropriate "Help" and messages within the software solution, and be present in the form of a hypertext document.

### Content

4.5.1 System Maintenance Manual

4.5.2 User guide

### Learning outcomes

*Candidates should be able to:*

- (a) develop systems maintenance
- (b) develop a detailed user manual

## 4.6 Evaluation (6 marks)

Discussion of the degree of success in meeting the original objectives as specified in the requirements specification, ease of the use of the package, acceptability to the client (including where possible a letter of acceptance from the client and reference to client and user testing results).

### Content

4.6.1 Evaluate results against the agreed set of objectives

4.6.2 Evaluate the results of client and user testing

### Learning outcomes

*Candidates should be able to:*

- (a) evaluate the final system against the criteria described in the agreed set of objectives
- (b) evaluate the client's and user's responses to testing the system

# 6. Coursework

## Section 4: Computing project (60 marks)

This unit assesses candidates' ability to develop a computer-based solution to a real life problem requiring the skills of analysis, design, development, testing, implementation and evaluation.

Candidates should formulate the task in negotiation with their teacher. If Centres are uncertain about the appropriateness of a problem they should seek advice from CIE.

### **Assessment and moderation**

All coursework is marked by the teacher and internally standardised by the Centre. Coursework is then submitted to CIE by the specified date.

The purpose of moderation is to ensure that the standard for the award of marks in coursework is the same for each Centre, and that each teacher has applied the same standards appropriately across the range of candidates within the Centre.

### **Minimum coursework requirements**

If a candidate submits no work for a coursework unit, then the candidate should be indicated as being absent from that unit on the coursework mark sheets submitted to CIE.

If a candidate completes any work for the coursework unit, then the work should be assessed according to the criteria and marking instructions, and the appropriate mark awarded (which may be zero).

### **Authentication**

As with all coursework, the teacher must be able to verify that the work submitted for assessment is the candidate's own work. Sufficient work must be carried out under direct supervision to allow the teacher to authenticate the coursework marks with confidence.

CIE is happy to rely on the professionalism of teachers to ensure fairness with this work.

### **Differentiation**

In the question papers, differentiation is achieved by setting questions which are designed to assess candidates at their appropriate levels of ability, and which are intended to allow all candidates to demonstrate what they know, understand and can do.

In coursework, candidates should choose their project problem so that the work enables them to display positive achievement and to demonstrate their full range of abilities.

**Please copy the Coursework Assessment Summary Form at the back of this syllabus document and submit with the Computing Project. The Candidate Record Card for the Computing Project should be attached to each candidate's submission.**

# 7. Appendix

## 7.1 Guidance on selecting the Computing Project

The selection of the problem for which a computerised system is to be designed and implemented is extremely important. It should be chosen by the candidate in consultation with the teacher, and should always involve a client, who requires the solution to the problem, and a user(s), the person who is going to use the computerised system. The client and the user may be the same person e.g. if a sole-trader's business requires a computerised system.

It is important to stress that the candidate should endeavour to produce a system which will solve a given problem sensibly, within the constraints of resources available to the candidate.

Since the computing project seeks to assess the systems analysis section of the specification in a practical manner, candidates should not produce a system from their own limited knowledge of the requirements of the system. The client has to be someone who is willing to be involved in the project:

- in the analysis of the problem, where the client's requirements are obtained; this may take the form of recorded interviews with the candidate
- at the software development, testing and implementation stages, where the client and/or user is involved in 'prototyping'
- at the evaluation stage, where the client is involved in checking that the system is completed as specified and, leading on from this, is then willing to write a letter of acceptance of the system, including any criticisms of it.

In this way, candidates can be encouraged to look beyond school or college life into the businesses and companies in the community of the surrounding area. The emphasis is on analysing an existing system, and producing a computer-based solution to fit the needs of a client.

At the end of the project, candidates should submit a concisely written and well laid out report, which should be word-processed.

The solution must be implemented using a programming language and any of the following that are appropriate; pre-written modules or toolkits, applications software and programmable packages. Very brief descriptions of any programming languages or software packages used, together with reasons for their selection, should be included in the report.

# 7. Appendix

For the programming the candidate should:

- annotate listings
- explain each section of the program with appropriate algorithm descriptions, which should be language independent
- define variables by name, type and function where appropriate
- define clearly and identify the purpose of subroutines and procedures.

Where part of the solution has been produced with a software package that has not involved programming, the candidate should:

- explain each section of the solution with appropriate algorithm descriptions
- define the purpose and inter-relationship of modules within the system
- clearly annotate the results produced.

The projects should be documented in a report that contains the title, a contents list, and is set out in the sub-sections identified in the 'Guidance on Marking the Computing Project'.

Appropriate evidence of development, testing and demonstration of a working system, such as screen dumps or photographs of screen layouts and printouts, paper based user documentation and a letter from the client to say that the system has been developed satisfactorily, must be included in the report.

Candidates should not submit magnetic, optical or solid state media as supporting evidence.

The computing project must involve programming and may involve the tailoring of generic software packages and may also involve the choosing and installing of hardware. It is not intended that any method of solution is better than another merely that the solution must be one that suits the problem that is being solved.

# 7. Appendix

## 7.2 Guidance on marking the Computing Project

Computing Projects are assessed as follows:

|   |                 |
|---|-----------------|
| Quality of report   | 3 marks         |
| Definition, investigation and analysis                      | 11 marks        |
| Design  | 12 marks        |
| Software development, programming, testing and installation | 18 marks        |
| Documentation   | 10 marks        |
| Evaluation  | 6 marks         |
| <b>Total</b>  | <b>60 marks</b> |

### (a) Quality of report [Total 3 marks]

A candidate should produce a well ordered report that covers all the information from the sections set out below.

|  |         |
|--|---------|
| Evidence for most sections is included; there may be errors of spelling, punctuation and grammar.  | 1 mark  |
| Evidence for all sections is included, the report is well ordered and there are few errors of spelling, punctuation and grammar.                 | 2 marks |
| The report is complete, well organised with good use of illustrations, and there may be a few minor errors of spelling, punctuation and grammar. | 3 marks |

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## (b) Definition, investigation and analysis [Total: 11 marks]

### (i) Definition – nature of the problem [3 marks]

A candidate should not expect the examiners to be familiar with the theory and practice in the area of the chosen system. There should be a brief description of the organisation (for example, firm or business) involved and the current methods used in the chosen areas that may form the basis of the project. A clear statement of the origins and form of data should be given. At this stage the exact scope of the project may not be known and it may lead to the arranging of an interview with the client.

|   |         |
|---|---------|
| Description of the organisation.  | 1 mark  |
| Description of the organisation and the methods currently used in the area of the chosen project.   | 2 marks |
| Full description of the organisation and methods currently in use in the area of the chosen project, with a description of the origin of the data to be used and some indication of the form that data takes. | 3 marks |

### (ii) Investigation and analysis [8 marks]

This section is the 'systems analysis'. The candidate should describe how the client requirements were ascertained (possibly by long discussions with the users: question and answer sessions should be recorded and outcomes agreed). A clear requirements specification should be defined. Alternative outline solutions should be discussed and evaluated against one another.

|  |           |
|--|-----------|
| Some elements have been discussed but little or no client involvement.   | 1–2 marks |
| Some evidence that an attempt has been made to interview the client and some recording of it has been made. An attempt has been made to develop a requirement specification based on the information collected.  | 3–4 marks |
| Good client involvement and recording of the interview(s). Most of the necessary items have been covered including a detailed discussion of alternative approaches. A requirements specification based on the information collected is present but with some omissions.  | 5–6 marks |
| Excellent client and user involvement with detailed recording of the client's requirements. Alternative approaches have been discussed in depth. The report demonstrates a thorough analysis of the system to be computerised. A detailed requirements specification based on the information collected has been produced. | 7–8 marks |

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## (c) Design [Total: 12 marks]

### (i) Nature of the solution [8 marks]

A detailed systems design (including diagrams as appropriate) should be produced and agreed with the client. Proposed record, file and data structures should be described and design limitations should be included. Design of data capture forms, input formats (with examples of screen layouts) and output formats should be included here where relevant. Process designs and a test plan for the system should also be included. The test plan should contain test data and the expected results for that data. An agreed set of objectives should also be included. These items are the design specifications, which should be agreed with the client.

|  |           |
|--|-----------|
| Some vague discussion of what the system will do with a brief diagrammatic representation of the new system.   | 1–2 marks |
| The major objectives of the new system have been adequately summarised, <i>but omissions have been made</i> . There is a brief outline of a design specification, including mock ups of inputs and outputs, process model described (including a diagram: structure diagram, data flow diagram or system flowchart). However, there is a lack of completeness with omissions from the process model, inputs and outputs. Data structures have been identified but there may be inadequate detail. The test plan may be incomplete. | 3–4 marks |
| A clear set of objectives has been defined and a full design specification is included, but there may be some errors or logical inconsistencies, for example validation specified may be inadequate or field lengths incorrect. There is clear evidence that a response to the design has been obtained from the client, and any comments have been acted upon.  | 5–6 marks |
| A clear set of objectives with a detailed and complete design specification, which is logically correct. There are also detailed written descriptions of any processes/ modules and a clear, complete definition of any data structures. The specification is sufficient for someone to pick up, develop and test an end result using the software and hardware specified in the requirements specification.   | 7–8 marks |



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## (ii) Intended benefits [2 marks]

There should be some discussion of the relative merits of the intended system and of the previous mode of operation. This may include any degree of generality beyond the original scope of the system.

|  |         |
|--|---------|
| One valid benefit of the new system has been identified and explained. | 1 mark  |
| The benefits of the new system have been comprehensively described.    | 2 marks |

## (iii) Limits of the scope of the solution [2 marks]

This may include volume (sizing limitations) and limitations of the facilities used. For full marks there must be some estimate of the size of the files required for the implemented system.

|   |         |
|---|---------|
| A discussion of what the system limitations are.  | 1 mark  |
| A detailed description of the system limitations has been given, including the estimate of the size of the files required for the implemented system. | 2 marks |

## (d) Software development, programming, testing and installation [Total: 18 marks]

### (i) Development [4 marks]

A technical description of how the solution relates to the design specification produced and agreed with the user should be included.

|  |           |
|--|-----------|
| Program listings or evidence of tailoring of a software package is provided in the form of printouts. The developed solution does not fulfil the design specification. A teacher may award 1 mark if they have been shown the system working satisfactorily and there is no hard evidence in the project report.   | 1 mark    |
| Program listings or evidence of tailored software packages are provided in the form of printouts. Data structures are illustrated as part of the listings where appropriate, detailing their purpose. There is some annotation evident to illustrate how the package was tailored for a particular purpose or to indicate the purpose of sections of code in a program listing. The developed solution has logical flaws and does not fulfil the design specification. | 2–3 marks |
| Program listings or evidence of tailored software packages are provided in the form of printouts. Data structures are illustrated as part of the listings where appropriate, detailing their purpose. There is a full set of printouts showing input and output as well as data structures. The developed solution does fulfil the design specification.   | 4 marks   |

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## (ii) Programming [5 marks]

There should be clearly set out program listings that demonstrate the technical competence of the candidate. Candidates should make good use of the facilities of a procedural programming language as part of their solution.

|  |           |
|--|-----------|
| A program listing showing code written by the candidate is included.   | 1–2 marks |
| Some technical competence in programming shown by a program listing that makes use of meaningful identifier names, indentation and formatting to show the control structures used. The code should be annotated with some comments so that the logic of the solution can be followed.                        | 3–4 marks |
| Good technical competence in programming shown by a self-documented program listing that makes good use of meaningful identifier names, indentation and formatting to show the control structures used. The code should be annotated with comments so that the logic of the solution can be easily followed. | 5 marks   |

## (iii) Testing [5 marks]

An attempt should be made to show that all parts of the system have been tested, including those sections dealing with unexpected or invalid data as well as extreme cases. Showing that many other cases of test data are likely to work – by including the outputs that they produce – is another important feature. Evidence of testing is essential. Comments by teachers and others are of value, but the test plan must be supported by evidence in the report of a properly designed testing process. The examiner must be left in no doubt the system actually works to the satisfaction of the client. This evidence may be in the form of hardcopy output and screen dumps.

|  |           |
|--|-----------|
| A collection of hardcopy test run outputs with no test plan, or a test plan with no hardcopy evidence may also be present. A teacher may award 1 mark if they have been shown the system working satisfactorily and there is no hard evidence in the project report.                 | 1 mark    |
| There is little evidence of testing with a badly developed test plan with clear omissions. There is no description of the relationship between the structure of the development work and the testing in evidence.  | 2 marks   |
| There should be hardcopy evidence from at least eight different test runs cross-referenced to the test plan. However, not all cases have been tested.  | 3–4 marks |
| Evidence of each test run cross-referenced to the test plan is present in the report. Testing should include as many different paths through the system as is feasible, including valid, invalid and extreme cases. Marks may be lost for lack of evidence of a particular test run. | 5 marks   |

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## **(iv) Installation [4 marks]**

It is recognised that the client may not fully install and use the system, although this is the ultimate aim. However, to score any marks in this section, there must be some evidence that the client has seen the system in operation. This can be done in a number of ways: such as by inviting the client to see the product and allowing the candidate to demonstrate the system, or by taking the system to the client involved. There should be an installation plan written, including details of system changeover, training required and details of user testing.

|   |           |
|---|-----------|
| Details of system changeover have been documented. Some evidence of client and/or user testing is given, usually by questionnaire or written comments by fellow students or others who were not directly involved in the development of the system.                                     | 1 mark    |
| An implementation plan with details of systems changeover and training required. There is written evidence available from the client indicating that they have seen the system in operation.  | 2–3 marks |
| A clear and detailed implementation plan, including planned systems changeover, training required and detailed stages of user testing. There is written evidence available from the client and/or user that they have tested the system and agree with the strategy for implementation. | 4 marks   |

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## (e) Documentation [Total: 10 marks]

### (i) Systems maintenance documentation [4 marks]

Much of the documentation will have been produced as a by-product of design and development work and also as part of writing up the report to date. The contents of the guide should, where relevant, include the following: record, file and data structures used; data dictionary; data flow (or navigation paths); annotated program listings; detailed flowcharts; details of the algorithms used and adaptive maintenance to provide for some future proofing.

All parts of the guide should be fully annotated, since this is important for subsequent maintenance of the system. The specifications of the hardware and software on which the system can be implemented should be included.

|  |           |
|--|-----------|
| Some items are present with some annotation attempted.                         | 1–2 marks |
| One or two omissions, but the rest is present and annotation is used sensibly. | 3–4 marks |

### (ii) User documentation [6 marks]

Clear guidance, as friendly as possible, should be given to the user for all operations that they would be required to perform. These would include input format with screens displays, print options, back-ups (file integrity routines), security of access to data and a guide to common errors that may occur. (Note: the candidate would **not** be required to copy out large volumes of any underlying software's user guide, but to produce a non-technical and easy to follow guide for someone with little computer knowledge.) Some mention here of the relationship between items of software and the data they deal with may be relevant.

The user guide should be well presented with an index and, where necessary, a glossary of the terms used. Alternatively, an electronic guide could be based around hypertext links (screen dumps will be required).

|   |           |
|---|-----------|
| An incomplete guide, perhaps with no screen displays. Some options briefly described, but difficult for the user to follow.   | 1–2 marks |
| All but one or two options fully described (for example, back-up routines not mentioned). Mostly, the options are easy for the user to follow with screen displays.   | 3–4 marks |
| A full user guide with all options described well presented (possibly as booklet), with an index and a glossary. No omission of any of the options available (including back-up routines, guide to common errors). Marks may be lost for inadequate descriptions of some options. For full marks, good on-screen help should exist where this is a sensible option, and be present in the form of a hypertext document. | 5–6 marks |

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## (f) Evaluation [Total: 6 marks]

### (i) Discussion of the degree of success in meeting the original objectives [3 marks]

This discussion should demonstrate the candidate's ability to evaluate the effectiveness of the completed system. The agreed set of objectives should be matched to the achievements, taking into account the limitations. Client and user evaluation is also essential, and should arise from a questionnaire or, preferably, direct evaluation. For full marks it is important that the user provides sets of data as they are likely to occur in practice, and that the results arising from such data be taken into account. This data is typical data rather than test data, and it may show up faults or problems that the candidate's own test data failed to find.

|   |         |
|---|---------|
| Some discussion about the success, or otherwise, of the work, but with no reference to the specification set out in <b>(c)(i)</b> .   | 1 mark  |
| Some discussion about a number of the objectives set out in <b>(c)(i)</b> , but some omissions or inadequate explanation of success or failure.   | 2 marks |
| A full discussion, taking each objective mentioned in <b>(c)(i)</b> and explaining the degree of success in meeting them, indicating where in the project evidence can be found to support this, or giving reasons why they were not met. | 3 marks |

### (ii) Evaluate the client's and user's response to the system [3 marks]

It is important that neither the client nor the user is assumed to be an expert in computer jargon, so some effort must be made to ensure that the system is user-friendly. It will be assumed that the client will have considerable knowledge of the underlying theory of the business being computerised. Clarity of menus, clear on-screen help and easy methods of inputting data are all examples of how the system can be made user-friendly. Here, marks are awarded for the degree of satisfaction that the client indicates in the acceptance procedure. Could the system or its results be used? Was the system specification achieved? Do any system faults still exist? The candidate should evaluate the client's response to the final version of the system. It is important that the client and the user become active participants in this section, and that their responses are reported and evaluated by the candidate.

|   |         |
|---|---------|
| Some effort has been made to make the system user-friendly, but the user still has difficulty using the system.   | 1 mark  |
| The system is mostly user-friendly, but there is room for improvement (e.g. no on-screen help has been provided). The client indicates that the system could be used, but there are some faults which need to be rectified. | 2 marks |
| A fully user-friendly system has been produced. The client indicates that the system fully meets the specification given in section <b>(b)</b> , and there are no known faults in the system.                               | 3 marks |

# 7. Appendix

# COMPUTING

## Advanced Level Unit 9691/04: Computing Project

### Candidate Record Card

Please read the instructions printed overleaf before completing this form. One of these cover sheets, suitably completed, should be attached to the assessed work of **each** candidate in the moderation sample.

|                            |                       |                             |             |          |          |          |  |
|----------------------------|-----------------------|-----------------------------|-------------|----------|----------|----------|--|
| <b>Examination session</b> | <b>June/November*</b> | *please delete as necessary | <b>Year</b> | <b>2</b> | <b>0</b> | <b>1</b> |  |
|----------------------------|-----------------------|-----------------------------|-------------|----------|----------|----------|--|

|                    |  |
|--------------------|--|
| <b>Centre name</b> |  |
|--------------------|--|

|                      |  |  |  |  |  |
|----------------------|--|--|--|--|--|
| <b>Centre number</b> |  |  |  |  |  |
|----------------------|--|--|--|--|--|

|                       |  |                         |  |  |  |  |
|-----------------------|--|-------------------------|--|--|--|--|
| <b>Candidate name</b> |  | <b>Candidate number</b> |  |  |  |  |
|-----------------------|--|-------------------------|--|--|--|--|

| <b>Assessment criterion</b>   | <b>Mark</b> |
|---|-------------|
| Quality of report (max. 3)  |             |
| Definition, investigation and analysis (max. 11)                      |             |
| Design (max. 12)  |             |
| Software development, programming, testing and installation (max. 18) |             |
| Documentation (max. 10)   |             |
| Evaluation (max. 6)   |             |
| <b>Total (max. 60)</b>  |             |

#### Authentication by the teacher

I declare that, to the best of my knowledge, the work submitted is that of the candidate concerned. I have attached details of any assistance given beyond that which is acceptable under the scheme of assessment.

Signature \_\_\_\_\_ Date \_\_\_\_\_



UNIVERSITY of CAMBRIDGE  
International Examinations

# 7. Appendix

## Instructions for completion of this form

1. One form should be used for each candidate.
2. Ensure that the appropriate boxes at the top of the form are completed.
3. Enter the mark awarded for each assessment criterion in the appropriate box.
4. Add together the marks for all the assessment criteria, to give a total out of 60, then enter this total in the relevant box.
5. Sign and date the form.





# Paper 4: Marking grid (attach to Project)

## Computing Project marking details

|                        |  |                           |                      |
|------------------------|--|---------------------------|----------------------|
|                        |  | <b>Total Project mark</b> | <b>[__/60 marks]</b> |
| <b>Candidate name:</b> |  | <b>Candidate number:</b>  |                      |
| <b>Centre name:</b>    |  | <b>Centre number:</b>     |                      |

|                              |                     |
|------------------------------|---------------------|
| <b>(a) Quality of report</b> | <b>[__/3 marks]</b> |
|------------------------------|---------------------|

|   |  | <b>Mark</b> | <b>Comments</b> |
|---|--|-------------|-----------------|
| 1 | Evidence for most sections is included; there may be errors of spelling, punctuation and grammar.  |             |                 |
| 2 | Evidence for all sections is included, the report is well ordered, and there are few errors of spelling, punctuation and grammar.                |             |                 |
| 3 | The report is complete, well organised with good use of illustrations, and there may be a few minor errors of spelling, punctuation and grammar. |             |                 |

|   |                      |
|---|----------------------|
| <b>(b) Definition, investigation and analysis</b> | <b>[__/11 marks]</b> |
|---|----------------------|

|   |                     |
|---|---------------------|
| <b>(i) Definition – nature of the problem</b> | <b>[__/3 marks]</b> |
|---|---------------------|

|   |   | <b>Mark</b> | <b>Comments</b> |
|---|---|-------------|-----------------|
| 1 | Description of the organisation.  |             |                 |
| 2 | Description of the organisation and the methods currently used in the area of the chosen project.   |             |                 |
| 3 | Full description of the organisation and methods currently in use in the area of the chosen project, with a description of the origin of the data to be used and some indication of the form that data takes. |             |                 |

|  |                     |
|--|---------------------|
| <b>(ii) Investigation and analysis</b> | <b>[__/8 marks]</b> |
|--|---------------------|

|     |   | <b>Mark</b> | <b>Comments</b> |
|-----|---|-------------|-----------------|
| 1–2 | Some elements have been discussed but little or no user involvement.  |             |                 |
| 3–4 | Some evidence that an attempt has been made to interview the user and some recording of it has been made. An attempt has been made to develop a requirement specification based on the information collected.   |             |                 |
| 5–6 | Good user involvement and recording of the interview(s). Most of the necessary items have been covered including a detailed discussion of alternative approaches. A requirements specification based on the information collected is present but with some omissions.   |             |                 |
| 7–8 | Excellent user involvement with detailed recording of the user's requirements. Alternative approaches have been discussed in depth. The report demonstrates a thorough analysis of the system to be computerised. A detailed requirements specification based on the information collected has been produced. |             |                 |

**(c) Design**

[ \_\_\_/12 marks]

**(i) Nature of the solution**

[ \_\_\_/8 marks]

|     |   | Mark | Comments |
|-----|---|------|----------|
| 1–2 | Some vague discussion of what the system will do with a brief diagrammatic representation of the new system.  |      |          |
| 3–4 | The major objectives of the new system have been adequately summarised, but omissions have been made. There is a brief outline of a design specification, including mock ups of inputs and outputs, process model described (including a diagram: structure diagram, dataflow diagram or system flowchart). However there is a lack of completeness with omissions from the process model, inputs and outputs. Data structures have been identified but there may be inadequate detail. |      |          |
| 5–6 | A clear set of objectives have been defined and a full design specification is included but there may be some errors or logical inconsistencies, for example validation specified may be inadequate or field lengths incorrect. There is clear evidence that a response to the design has been obtained from the end-user, and any comments have been acted upon.   |      |          |
| 7–8 | A clear set of agreed objectives with a detailed and complete design specification, which is logically correct. There are also detailed written descriptions of any processes/modules and a clear, complete definition of any data structures. The specification is sufficient for someone to pick up, develop and test an end result using the software and hardware specified in the requirements specification.  |      |          |

**(ii) Intended benefits**

[ \_\_\_/2 marks]

|   |  | Mark | Comments |
|---|--|------|----------|
| 1 | One valid benefit of the new system has been identified and explained. |      |          |
| 2 | The benefits of the new system have been comprehensively described.    |      |          |

**(iii) Limits of the scope of the solution**

[ \_\_\_/2 marks]

|   |  | Mark | Comments |
|---|--|------|----------|
| 1 | A discussion of what the system limitations are.   |      |          |
| 2 | A detailed description of the system limitations has been given, including the estimate of the size of the files required for the implemented system |      |          |

**(d) Software development, programming, testing and installation**

[ \_\_\_/18 marks]

**(i) Development**

[ \_\_\_/4 marks]

|     |  | Mark | Comments |
|-----|--|------|----------|
| 1   | Program listings or evidence of tailoring of a software package is provided in the form of printouts. The developed solution does not fulfil the design specification. A teacher may award 1 mark if they have been shown the system working satisfactorily and there is no hard evidence in the project report.   |      |          |
| 2–3 | Program listings or evidence of tailored software packages are provided in the form of printouts. Data structures are illustrated as part of the listings where appropriate, detailing their purpose. There is some annotation evident to illustrate how the package was tailored for a particular purpose or to indicate the purpose of sections of code in a program listing. The developed solution has logical flaws and does not fulfil the design specification. |      |          |

|   |  |  |  |
|---|--|--|--|
| 4 | Program listings or evidence of tailored software packages are provided in the form of printouts. Data structures are illustrated as part of the listings where appropriate, detailing their purpose. There is a full set of printouts showing input and output as well as data structures. The developed solution does fulfil the design specification. |  |  |
|---|--|--|--|

**(ii) Programming**

[\_\_/5 marks]

|     |  | Mark | Comments |
|-----|--|------|----------|
| 1-2 | A program listing showing code written by the candidate is included.   |      |          |
| 3-4 | Some technical competence in programming shown by a program listing that makes use of meaningful identifier names, indentation and formatting to show the control structures used. The code should be annotated with some comments so that the logic of the solution can be followed.                        |      |          |
| 5   | Good technical competence in programming shown by a self-documented program listing that makes good use of meaningful identifier names, indentation and formatting to show the control structures used. The code should be annotated with comments so that the logic of the solution can be easily followed. |      |          |

**(iii) Testing**

[\_\_/5 marks]

|     |  | Mark | Comments |
|-----|--|------|----------|
| 1   | A collection of hardcopy test run outputs with no test plan, or a test plan with no hardcopy evidence may also be present. A teacher may award 1 mark if they have been shown the system working satisfactorily and there is no hard evidence in the project report.                 |      |          |
| 2   | There is little evidence of testing with a badly developed test plan with clear omissions. There is no description of the relationship between the structure of the development work and the testing in evidence.  |      |          |
| 3-4 | There should be hardcopy evidence from at least eight different test runs cross-referenced to the test plan. However, not all cases have been tested.  |      |          |
| 5   | Evidence of each test run cross-referenced to the test plan is present in the report. Testing should include as many different paths through the system as is feasible, including valid, invalid and extreme cases. Marks may be lost for lack of evidence of a particular test run. |      |          |

**(iv) Installation**

[\_\_/4 marks]

|     |  | Mark | Comments |
|-----|--|------|----------|
| 1   | Details of system changeover have been documented. Some evidence of client and/or user testing is given, usually by questionnaire or written comments by fellow students or others who were not directly involved in the development of the system.                                    |      |          |
| 2-3 | An implementation plan with details of systems changeover and training required. There is written evidence available from the client indicating that they have seen the system in operation.   |      |          |
| 4   | A clear and detailed implementation plan including planned systems changeover, training required and detailed stages of user testing. There is written evidence available from the client and/or user that they have tested the system and agree with the strategy for implementation. |      |          |

**(e) Documentation**

[ \_\_/10 marks]

**(i) Systems maintenance documentation**

[ \_\_/4 marks]

|     |  | Mark | Comments |
|-----|--|------|----------|
| 1–2 | Some items are present with some annotation attempted.                         |      |          |
| 3–2 | One or two omissions, but the rest is present and annotation is used sensibly. |      |          |

**(ii) User documentation**

[ \_\_/6 marks]

|     |   | Mark | Comments |
|-----|---|------|----------|
| 1–2 | An incomplete guide, perhaps with no screen displays. Some options briefly described but difficult for the user to follow.  |      |          |
| 3–4 | All but one or two options fully described (for example, back-up routines not mentioned). In the main the options are easy for the user to follow with screen displays.   |      |          |
| 5–6 | A full user guide with all options described well presented (possibly as booklet) with an index and a glossary. No omission of any of the options available (including back-up routines, guide to common errors). Marks may be lost for inadequate descriptions of some options. For full marks, good on-screen help should exist, where this is a sensible option, and be present in the form of a hypertext document. |      |          |

**(f) Evaluation**

[ \_\_/6 marks]

**(i) Discussion of the degree of success in meeting the original objectives**

[ \_\_/3 marks]

|   |   | Mark | Comments |
|---|---|------|----------|
| 1 | Some discussion about the success, or otherwise, of the work, but with no reference to the specification set out in (c)(i).   |      |          |
| 2 | Some discussion about a number of the objectives set out in (c)(i), but some omissions or inadequate explanation of success or failure.   |      |          |
| 3 | A full discussion, taking each objective mentioned in (c)(i) and explaining the degree of success in meeting them, indicating where in the project evidence can be found to support this or giving reasons why they were not met. |      |          |

**(ii) Evaluate the client's and user's response to the system**

[ \_\_/3 marks]

|   |   | Mark | Comments |
|---|---|------|----------|
| 1 | Some effort has been made to make the system user-friendly, but the user still has difficulty using the system.   |      |          |
| 2 | The system is, in the main, user-friendly, but there is room for improvement (e.g., no on-screen help has been provided). The user indicates that the system could be used but there are some faults, which need to be rectified. |      |          |
| 3 | A fully user-friendly system has been produced. The user indicates that the system fully meets the specification given in section (b), and there are no known faults in the system.   |      |          |

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